## **Certificate of Mailing**

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VA 22313-1450

Date: September 13, 2004

June M. Keating

PATENT 36856.816

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kenji NAITO et al.

Serial No.: 09/939,285

Filed: August 24, 2001

Title: FLYBACK TRANSFORMER

Art Unit: 2832

Examiner: T. Nguyen

## INFORMATION DISCLOSURE STATEMENT

**Mail Stop Amendment Commissioner for Patents** P.O. Box 1450 **Alexandria, VA 22313-1450** 

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of six (6) references cited in the Examination Report issued in a corresponding Japanese Patent Application. For the Examiner's convenience, we have enclosed an English translation of the Japanese Examination Report and a completed Form PTO-1449. The relevance of the non-English language references are set forth in the English translation of the Japanese Search Report enclosed herewith. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of this

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statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application.

Applicants petition the Commissioner to consider this information disclosure statement pursuant to C.F.R. § 1.197(d). Enclosed is a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee. If any additional fee is required, the Commissioner is authorized to charge Deposit Account No. 50-1353.

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: September 13, 2004

Attorneys for Applicant(s)

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Sheet

## Complete if Known Application Number 09/939,285 Filing Date August 24, 2001 First Named Inventor Kenji NAITO Art Unit 2832 Examiner Name Tuyen NGUYEN Attorney Docket Number 36856.816

	U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
Initials*	No.1	Number-Kind Code <sup>2(If known)</sup>	MM -YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
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		FO	REIGN PATEN	IT DOCUMENTS		
Examine r Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number-Kind Code <sup>5</sup> (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
	1	JP 07-335463A (English abstract and machine tranaslation)	12/1995	Japan		X
	2	JP 09-246021A (English abstract and machine tranaslation)	09/1997	Japan		x
	3	JP 56-019963U	07/1979	Japan		
	4	JP 56-006674A	01/1981	Japan		х
	5	JP 01-125513U	08/1989	Japan		
	6	JP 60-171088U	11/1985	Japan		
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Examiner	Date		
Signature	Considered		

<sup>\*</sup>Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Strandard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.